IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:) Docket No.: 089070-0311371
Joseph G. GATTO) Confirmation No.: 9235
Serial No.: 09/775,599	Examiner: Narayanswamy Subramanian
Filed: February 5, 2001	Group Art Unit: 3624

For: SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Supplemental Information Disclosure Statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), or more than three months after the date of entry of the Serial No. 09/775.599 Docket No.: 089070-0311371

national stage as set forth in 37 C.F.R. §1.491 in an international application, and after the mailing date of any of a Final Action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fec. 37 C.F.R. §1.97(d).

Document A was cited by the U.S. Patent Office in eo-pending Application Serial No. 09/982,838, filed October 22, 2001, in a Notice of Allowability dated July 21, 2006. Document B was cited by the U.S. Patent Office in co-pending Application Serial No. 09/722,050, filed November 27, 2000, in an Office Action dated June 28, 2006. 1 hereby certify that no item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

Please charge the \$180.00 fee [37 C.F.R. §1.17(p)] to Deposit Account No. 03-3975. In addition, please charge any fee deficiencies, or credit any overpayment, to Deposit Account No. 03-3975 as needed to ensure consideration of the Supplemental Information Disclosure Statement.

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Serial No. 09/775.599 Docket No.: 089070-0311371

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

Respectfully submitted,

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Docket Number Application Number 089070-0311371 09/775,599 INFORMATION DISCLOSURE CITATION Applicant(s) IN AN APPLICATION Joseph G. GATTO Filion Date Group Art Unit Form PTO-1449 February 5, 2001 3624 U.S. PATENT DOCUMENTS *EXAMINER REF DOCUMENT NO. NAME 224 [7] SUBCLASS FILING DATE DITTIAL. APPROPRIATE 5,845,285 12/01/1998 Klein 08/12/2003 Jennings et al. 707 В 6.606.615 708 45 FOREIGN PATENT DOCUMENTS SUBCLASS YES NO REE DOCUMENT NO DATE COUNTRY CLASS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMBLE

BY CONSIDERED

EXAMBLE: Initial if classion considered, whether or not classion is in conformance with MPEP Section 609; Draw line through classion in conformance and not considered. Include copy of this form with need communication to Applicant,